



Attorney Docket No.: 100103-000110US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tonny Yu  
Serial No. : 09/776,034  
Filed : February 1, 2001  
Title : USING AN INTERMEDIARY TO MANAGE UNWANTED ELECTRONIC  
MESSAGES

Art Unit : 2152  
Examiner : Unassigned

#5  
8.3 and  
4/20/04

Commissioner for Patents  
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Alexandria, VA 22313-1450

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Copies of the cited U.S. Patent references listed on the attached PTO-1449 forms are not enclosed but can be provided upon request.

This filing is being made before receipt of an Office Action on the merits. Therefore, no fee is currently due.

Please apply any other appropriate charges or credits to Deposit Account No. 50-3000.

Respectfully submitted,

Date: April 8, 2004

  
Charles J. Kulas  
Reg. No. 35,809

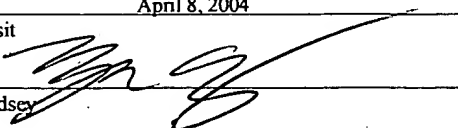
CARPENTER & KULAS, LLP  
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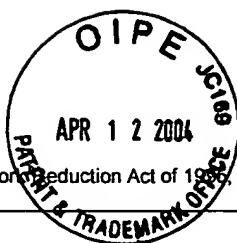
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2152

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/776,034
Filing Date	February 1, 2001
First Named Inventor	Tonny Yu
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	100103-000110US

Total Number of Pages in this Submission

5

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawings
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

PTO-1449 forms  
Confirmation postcard

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Remarks

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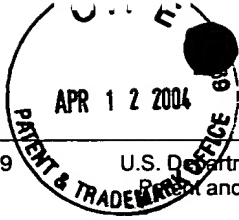
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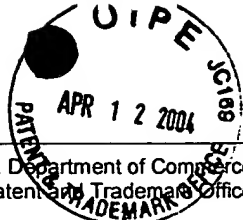
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No.	Application No.
		100103-000110US	09/776,034
		Applicant	
		Tonny Yu	
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Filing Date	Group Art Unit
		February 1, 2001	2152
(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,684,238	01/27/2004	DUTTA			
	AB	6,684,088	01/27/2004	HALAHMI			
	AC	6,671,718	12/30/2003	MEISTER et al.			
	AD	6,654,787	11/25/2003	ARONSON et al.			
	AE	6,651,879	11/25/2003	LAPSTUN et al.			
	AF	6,643,687	11/04/2003	DICKIE et al.			
	AG	6,615,241	09/02/2003	MILLER et al.			
	AH	6,614,551	09/02/2003	PEEK			
	AI	6,600,750	07/29/2003	JOFFE et al.			
	AJ	6,592,627	07/15/2003	AGRAWAL et al.			
	AK	6,591,296	07/08/2003	GHANIME			
	AL	6,587,871	06/17/2003	SCHRADER			
	AM	6,580,787	06/17/2003	AKHTERUZZAMAN et al.			
	AN	6,546,417	04/08/2003	BAKER			
	AO	6,539,385	03/25/2003	POLLACK et al.			
	AP	6,529,908	03/04/2003	PIETT et al.			
	AQ	6,526,042	02/25/2003	PINARD et al.			
	AR	6,522,421	02/18/2003	CHAPMAN et al.			
	AS	6,502,127	12/31/2002	EDWARDS et al.			
	AT	6,487,586	11/26/2002	OGILVIE et al.			
	AU	6,473,812	10/29/2002	MOTOYAMA			
	AV	6,460,075	10/01/2002	KRUEGER et al.			
	AW	6,446,261	09/03/2002	ROSSER			
	AX	6,446,115	09/03/2002	POWERS			
	AY	6,443,841	09/03/2002	ROSSIDES			
	AZ	6,438,584	08/20/2002	POWERS			
	AAA	6,424,426	07/23/2002	HENRY			

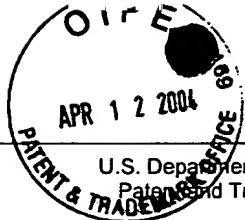
Examiner Signature	Date Considered
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>100103-000110US</b>	Application No. <b>09/776,034</b>
	Applicant <b>Tonny Yu</b>		
	Filing Date <b>February 1, 2001</b>	Group Art Unit <b>2152</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	6,413,000	07/02/2002	BORCHERDS et al.			
	ACC	6,405,243	06/11/2002	NIELSEN			
	ADD	6,405,225	06/11/2002	APFEL et al.			
	AEE	6,401,112	06/04/2002	BOYER et al.			
	AFF	6,374,292	04/16/2002	SRIVASTAVA et al.			
	AGG	6,363,414	03/26/2002	NICHOLLS et al.			
	AHH	6,351,523	02/26/2002	DETLEF			
	AII	6,330,590	12/11/2001	COTTEN			
	AJJ	6,330,589	12/11/2001	KENNEDY			
	AKK	6,324,569	11/27/2001	OLGIVIE et al.			
	ALL	6,321,267	11/20/2001	DONALDSON			
	AMM	6,304,898	10/16/2001	SHIIGI			
	ANN	6,289,214	09/11/2001	BACKSTROM			
	AOO	6,282,565	08/28/2001	SHAW et al.			
	APP	6,272,532	08/07/2001	FEINLEIB			
	AQQ	6,249,807	06/19/2001	SHAW et al.			
	ARR	6,223,213	04/24/2001	CLERON et al.			
	ASS	6,161,130	12/12/2000	HORVITZ et al.			
	ATT	6,141,695	10/31/2000	SEKIGUCHI et al.			
	AUU	6,118,856	09/12/2000	PAARSMARKT et al.			
	AVV	6,108,691	08/22/2000	LEE et al.			
	AWW	6,108,688	08/22/2000	NIELSEN			
	AXX	6,104,500	08/15/2000	ALAM et al.			
	AYY	6,052,709	04/18/2000	PAUL			
	AZZ	6,023,723	02/08/2000	McCORMICK et al.			
	AAAA	5,999,967	12/07/1999	SUNDSTED			
	ABBB	5,987,609	11/16/1999	HASEKE			

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		Applicant Tonny Yu	
		Filing Date February 1, 2001	Group Art Unit 2152

**Information Disclosure Statement  
by Applicant**  
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,978,799	11/02/1999	HIRSCH			
	ADDD	5,878,230	03/02/1999	WEBER et al.			
	AEEE	5,822,526	10/13/1998	WASKJEWICZ			
	AFFF	5,781,857	07/14/1998	HWANG et al.			
	AGGG	5,742,769	04/21/1998	LEE et al.			
	AHHH	5,694,616	12/02/1997	JOHNSON et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AIII							
	AJJJ							
	AKKK							
	ALLL							
	AMMM							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	ANNN	
	AOOO	
	APPP	
	AQQQ	

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